

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
27150/03

In Re Application of Donald N. Levit

#3

Serial No.
09/804,412

Filing Date
03/12/02

Examiner

Group Art Unit

Invention: Method, Apparatus, and Products for Managing Insurance

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
05/07/01
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
 - ☐ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☐ Other (list):

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In Re Application Of: **Donald N. Levit**

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Group Art Unit

Invention:

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$355.00

☐ Design application filing fee

☒ Total number of independent claims = 5 \$80.00

☐ Total number of claims =

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00

☐ Petition and fee for filing by other than all the inventors or a person not the invention

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees \$500.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☒ One month ☐ Two months ☐ Three months ☐ Four months

from: July 17, 2001 until: August 17, 2001
Date *Date*

Total time extension fees \$55.00

Total fees due \$555.00

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27150/03

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Serial No.
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Filing Date
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Examiner

Group Art Unit

Invention: Method, Apparatus, and Products for Managing Insurance

~~#3~~
#4

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of \$555 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 07-1245
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 07-1245
A duplicate copy of this sheet is enclosed.

08/20/2001 MGBREM1 00000091 09804412

04 FC:215

55.00 OP


Signature

Dated: 8/16/01

J.M. (MARK) GILBRETH, Reg No. 33,388
Attorney for Applicant

P.O. Box 61305
Houston, TX 77208-1305
Ph: 713/667-1200
Fax: 713/667-4424

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:



HA 08/17/01
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

See for #

APPLICANTS: Donald N. Levit

SERIAL NO.: 09/804,412

FILED: March 12, 2001

FOR: *METHOD, APPARATUS, AND
PRODUCTS FOR MANAGING
INSURANCE*

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GROUP ART UNIT:

EXAMINER:

#3

ATTORNEY DOCKET NO.: 27150/03

CERTIFICATE OF MAILING BY EXPRESS MAIL

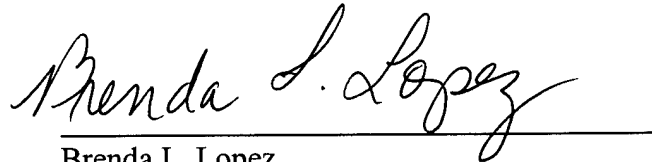
The Assistant Commissioner of Patents
BOX MISSING PARTS
Washington, DC 20231

Dear Sir:

I hereby certify that the following documents, which are attached, are being deposited, under 37 CFR 1.10, with the United States Postal Service "Express Mail Post Office to Addressee" service as Express Mail No. **EL 872 048 588 US** envelope addressed to: The Assistant Commissioner of Patents, **BOX MISSING PARTS**, Washington, DC 20231, on **August 16, 2001**.

- (1) **Response to Notice to File Missing Parts of Application;**
- (2) **Part 2** of PTO Form;
- (3) **Declaration and Power of Attorney** signed by the inventor;
- (4) **Check** in the amount of **\$500.00** for the filing fee and additional claims;
- (5) A **Check No. 1749** for **\$55** for the one month petition fee; and,
- (6) Return Postcard.

Respectfully submitted,



Brenda L. Lopez
(Person Mailing)

Date: **August 16, 2001**

GILBRETH & ASSOCIATES, P.C.
PO Box 61305
HOUSTON, TEXAS 77208-1305
713/227-1200



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/804,412	03/12/2001	Donald Neal Levit	27150/03

CONFIRMATION NO. 1098

FORMALITIES LETTER



OC000000006088604

#3

GILBRETH & ASSOCIATES, P.C.
PO BOX 61305
HOUSTON, TX 77208-1305

Date Mailed: 05/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$160.
 - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1000.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

08/20/2001 MGBREM1 00000091 09804412

01 FC:201	355.00 OP
02 FC:202	80.00 OP
03 FC:205	65.00 OP

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY